



VICE-PRINCIPAL (RESEARCH)

Richardson Hall, Suite 251
Kingston, Ontario, Canada K7L 3N6
Tel 613 533-6933
Fax 613 533-6934
research@queensu.ca

October 15, 2012

Mr. Lon Knox
Secretary of the University
University Secretariat
Queen's University

Dear Mr. Knox:

Re: Surveillance Studies Centre Formal Proposal for Research Centre Status

At its meeting of October 1, 2012, the Senate Advisory Research Committee reviewed the report of the Advisory Review Committee regarding the Surveillance Studies Centre's formal proposal for research centre status, both of which are enclosed. I am pleased to report that the Senate Advisory Research Committee agreed that the Surveillance Studies Centre be granted centre status for a period of five years with the recommendation that the Centre develop its engagement with younger faculty members and seeks alternative funding options to fulfill its mandate over the next five years.

The Senate Advisory Research Committee recommends that Senate approve centre status of the Surveillance Studies Centre for a period of five years, effective October 1, 2012, subject to ratification by the Board of Trustees.

Should you have any questions or comments regarding the Committee's recommendation, I would be pleased to meet with you.

Sincerely yours,

Steven N. Liss, PhD
Vice-Principal (Research)
Chair, Senate Advisory Research Committee

Encls.

c: Sonja Verbeek, Director, Office of the Vice-Principal (Research)

think Research
think Queen's

The Surveillance Studies Centre (SSC)

Queen's University at Kingston

15 June 2011

Formal Proposal for Research Centre/Institute Status

1. Introduction

a. This should briefly summarize the context and proposal

Surveillance of many kinds is growing rapidly throughout the world and the Surveillance Studies Centre (SSC) at Queen's University is committed to high-quality research to follow such developments. Current active research explores camera surveillance, ID systems, the history of surveillance with special focus on colonialism, biometrics, social media, border and airport controls – indeed on many aspects of contemporary monitoring, tracking, management and control. While much research happens on the Queen's University campus, the SSC is also part of a broad network of surveillance research that is both multi-disciplinary and international.

Our primary funding is from the Social Sciences and Humanities Research Council of Canada, currently through The New Transparency Project, a seven-year Major Collaborative Research Initiative, involving colleagues from around the world, including other key nodes at the universities of Toronto, Alberta, and Victoria, plus the Open University in the UK.

Surveillance Studies has flourished at Queen's since the early 1990s, and from 2000-2009 was carried out under The Surveillance Project banner. Details of our many publications may be found on www.sscqueens.org, along with descriptions of current research projects. Although most of our work is university-based, we also partner with a number of other organizations including the Office of the Privacy Commissioner (Canada), the International Civil Liberties Monitoring Group and others concerned with data protection and civil society responses to expanding surveillance.

While the SSC works with a wide variety of other individuals and organizations, it also has some distinct emphases. The SSC

- engages with all kinds of surveillance, not only those that are technologically mediated
- is based in the social sciences but works in technologically, ethically and politically informed ways
- does not regard surveillance as inherently sinister, but deeply ambiguous and always questionable
- insists that contemporary surveillance involves social sorting and thus raises justice issues
- accents research towards groups and individuals negatively affected by

- surveillance, locally and globally
- considers privacy important, alongside civil liberties and human rights
- insists that while surveillance technologies require regulation, technical “solutions” are inadequate
- believes that both the spread and the critique of surveillance should be seen in a global context

2. Purposes and Functions

a. What is the main purpose of the proposed Centre or Institute?

The Surveillance Studies Centre (SSC) aims to be a leading global hub for research on expanding surveillance practices. Through collaborative and international projects, the SSC promotes multi-disciplinary understanding of a full range of surveillance and the issues raised by its increasing organizational centrality.

Critically engaging the roots and growth of surveillance and “surveillance societies” globally and locally, the SSC tracks general trends as well as national and regional variations in colonial, authoritarian and democratic societies. The SSC provides up-to-date analysis within a community of scholars, seeks appropriate modes of ethical assessment and democratic involvement, raises awareness with the public, and influences policy at every level.

The SSC seeks core funding and research grants to maintain top quality cutting edge studies of surveillance in many contexts. Working with academic, policy and NGO partners we aspire to make a lasting difference in organizational practices, regulatory regimes and everyday lives, by working with other groups, contributing to evaluation and policy and raising awareness.

Values: The SSC is committed to

- Excellence in research and education
- Raising new questions, seeking new ideas
- Cooperation with policy-makers, NGOs and media
- Special concern for vulnerable groups, locally and globally
- Training new scholars
- Collegiality and collaboration in network relationships
- Open access to research findings
- Hospitality for all visitors
- Innovation in research and outreach
- Respect and inclusivity

b. How will the Centre or Institute perform its functions (research, publication, etc.)?

The SSC facilitates collaboration between its members and beyond, advancing the Surveillance Studies field through workshops, lectures and seminars, empirical work, a

visiting scholar program, publishing, community outreach, liaising with policy and activist groups, and student training.

Mission: The SSC exists to

- Advance knowledge of state, private sector and informal surveillance
- Act alongside others as a global clearing house for surveillance research
- Host the flagship online journal, *Surveillance & Society*
- Pursue a strategic and accessible publishing program
- Secure public and private funding to become financially sustainable
- Understand responses to, and contribute to democratic debate on, surveillance

c. Will the Centre or Institute cover a field of research not now adequately or readily covered within an existing department, Centre or Institute?

Yes, Surveillance Studies.

d. What department within Queen's and department at other universities will be significantly active and involved in the activities of the Centre/Institute?

At Queen's: Sociology, Law, Computing, Film Studies, History, Geography
At other universities: Political Science, University of Victoria; Faculty of Information, University of Toronto; Department of Sociology, University of Alberta; The Open University Business School (UK).

The SSC also has strong connections with the Department of Communication at Simon Fraser University; Criminologie, Université de Montréal; École des Médias, UQAM; Sociology and Social Policy at Sydney University, Australia; Sociology and Social Policy, Sheffield University, UK; The LSE, UK; the Global Urban Research Unit (GURU) at Newcastle University, UK (where David Murakami Wood is currently a Visiting Fellow); Sciences Po, Paris, France; Sociology, Kwansai Gakuin University, Kobe, Japan; and Communication, Federal University of Rio de Janeiro, Brazil.

e. How will the Centre/Institute facilitate or influence the work of

i. Undergraduate students?

ii. Graduate students?

iii. Post-doctoral trainees?

iv. Faculty members?

The SSC aims to create an inspiring and supportive research environment for students, post-docs, and visitors. It provides students with opportunities to make connections with faculty, other universities and other graduate students; chances for collaboration; participation within a critical mass of surveillance scholars; information about, and access to, dedicated funding and employment opportunities; expert mentoring towards their higher degrees; access to library and research resources; experience involvement

in running a project and activities; and opportunities to attend conferences, seminars and workshops.

In alternate years, the SSC mounts a week-long Surveillance Studies Summer Seminar for graduate students, post-docs and junior faculty; the most recent of which was held 16 – 21 May 2011.

f. In what respects might it enhance the reputation of the University?

The SSC offers the University the prestige and enhanced reputation of a world-class research centre and enhanced opportunities to attract students and faculty. The SSC facilitates discussion about ‘surveillance’ across and within departmental communities and faculties, in order to foster an inclusive, inspiring, and supportive research environment. The University will also be involved in attracting external funding and its library and information networks will benefit from resource-sharing.

The Centre also fosters the development of and maintains links with emerging surveillance studies research networks around the world, currently including groups in Latin America, Italy, Japan, the United Kingdom and Germany. These relationships further promote faculty and student exchanges as well as new joint research ventures.

3. Membership

Respond to the questions below as appropriate:

a. Have the proponents of the Centre or Institute been working together informally, and if so for how long, and what numbers of students have been involved?

The Centre builds upon the existing reputation of The Surveillance Project (predecessor to SSC). Centre Director David Lyon has been working on surveillance studies topics at Queen’s University since the early 1990s, most notably with Sociology Professor Emeritus Elia Zureik. David Skillicorn (School of Computing), Vincent Mosco (Sociology), Yolande Chan (School of Business) and Art Cockfield (Faculty of Law) were all co-investigators on the SSHRC-funded INE project “The Globalization of Personal Data” (GPD) (2003-2008). The Department of Film Studies (Clarke Mackey) was also a partner with the GPD project. Currently associated with the SSC are colleagues who have significantly increased their involvement over recent years: Laureen Snider (now Emerita, Sociology), David Murakami Wood (appointed Canada Research Chair Tier Two in Surveillance Studies, in 2009) and Malcolm Thorburn (Canada Research Chair, Tier Two, Law).

Dozens of students have been involved with The Surveillance Project and student involvement continues to be a priority within the SSC. Currently the Centre includes approximately six Sociology PhD candidates, seven Sociology MA candidates, and three Faculty of Law graduate students.

b. If outside groups will be represented, to what extent, and how are they chosen?

The SSC provides government, industry, arts and culture institutions, and media and policy/activist groups with access to problem-focused research that documents on-the-ground surveillance and privacy issues that have real world relevance; the chance to develop comparative understanding beyond single issues; and contacts with those conducting reliable theoretical and empirical applied research on personal data use.

c. Which are the critical departments with respect to drawing membership?

Sociology and the Faculty of Law.

d. Will all members of the Centre or Institute also hold positions in an established department? Will members seek exemption from any normal departmental responsibilities?

Faculty Research Members are Queen's faculty members. Surveillance Studies students, post-doctoral fellows and other academic visitors and university staff are members of Queen's Surveillance Studies Centre. These members play active roles in the Centre as both partners in research and as advisors.

Faculty members may receive research time stipends enabling them to be relieved of teaching duties.

e. Will membership be continuing or short term?

Membership will be continuing or short term, depending upon the membership category (i.e. faculty members, continuing; visitors, short term).

f. Will members be brought to Queen's to work on their own projects? If so, what are the sources of such members and the probable means of financing them?

Other academic visitors are members of Queen's Surveillance Studies Centre. These members play active roles in the Centre as both partners in research and as advisors. The SSC offers administrative support and office space for academic visitors, who are generally self-funded.

As of June 2011, several visitors are in residence at the SSC. They include:

- Harith Hazim Ayoob, Visiting Researcher, Iraq (supported by the University of Mosul)
- Jianjun Liu, Visiting Scholar, China (supported by Canada-China Scholars' Exchange Program)
- Kiyoshi Abe, Visiting Professor, Japan (supported by Kwansai Gakuin University)

- Sami Coll, Post-doctoral Fellow, Switzerland (supported by the Swiss National Science Foundation)
- Francesca Menichelli, Visiting Research Student (PhD), Italy

4. Constitution

Provide a constitution based on the structure given in Appendix V

See Appendix A.

5. Organization and Liaison

Respond to questions below as appropriate:

a. In what ways, if any, does the constitution of the proposed organization differ from that envisaged in Appendix V? None.

b. Does the formation of the Centre or Institute have the support of the heads of all the departments involved? See letters attached.

c. Does the formation of the Centre/Institute have the support of the Deans of all departments involved?

6. Staffing and Physical Requirements

a. Will a space allocation be required? If so, how extensive might this be?

i. immediately?

ii. within five years?

iii. within ten years?

The SSC suite of offices currently consists of one administrative office, one Research Associate office, one resource office containing the SSC resource library and two work stations, and one office reserved for academic visitors. As the Centre continues to attract academic visitors and graduate students, additional office space to house workstations will be necessary over the long-term.

b. Is it necessary that any space required be i) directly associated with certain departments? ii) on the main campus?

The SSC currently occupies a suite of offices in Mackintosh-Corry Hall. The offices are ideally located nearby to the Sociology and Political Studies departments, as well as the Faculty of Law. These departments are most closely associated with the SSC.

c. Is it proposed that there be a professional staff of the Centre or Institute who are not staff of a department? No.

d. What office and administrative staffing is proposed?

The SSC currently employs a full-time administrator who takes care of facilities management, conference planning, personnel, and financial administration.

e. What technical staffing is proposed?

The SSC currently employs a full-time Research Associate who facilitates collaborative research among Centre members and associated research teams. The Research Associate also performs direct research work, liaises with other researchers, performs editorial work and bibliographic searches.

7. What special demands might be made on library, computing or other University services? n/a

8. Five Year Budget

Provide a tentative five-year budget, according to the following categories:

| | 2011-2012 | 2012-2013 | 2013-2014 | 2014-2015 | 2015-2016 |
|---------------------------------------|-----------|-----------|-----------|-----------|-----------|
| Revenues | 230,040 | 232,737 | 185,851 | 192,751 | 188,950 |
| | | | | | |
| Expenditures | | | | | |
| Research Staff Salaries and Benefits | 32,000 | 32,000 | 0 | 0 | 0 |
| Full-time Staff Salaries and Benefits | 136,590 | 140,687 | 145,000 | 150,000 | 155,000 |
| Res. Assts Salaries and Benefits | 25,000 | 25,000 | 25,000 | 25,000 | 25,000 |
| Equipment and Computer | 2000 | 500 | 500 | 2000 | 0 |
| Supplies | 1500 | 1500 | 1500 | 1500 | 1500 |
| Travel | 31,000 | 31,000 | 11,801 | 11,801 | 5,000 |
| Printing | 450 | 450 | 450 | 450 | 450 |
| Repairs and Maintenance | 500 | 500 | 500 | 500 | 500 |
| Professional Fees | 1000 | 1100 | 1100 | 1500 | 1500 |
| Overhead | 0 | 0 | 0 | 0 | 0 |
| Miscellaneous* | 0 | | | | |
| Total Expenditures | 230,040 | 232,737 | 185,851 | 192,751 | 188,950 |
| Net income | 0 | | | | |

a. **Salary requirements**, including benefits, for:

- i. university staff,
- ii. research staff,
- iii. administrative staff,
- iv. student assistants

b. Space requirements for:

- i. research,

- ii. administrative purposes,
- iii. other

c. Services such as:

- i. special library services,
- ii. computer services,
- iii. animal care services

d. Equipment or source materials

e. Supplies

9. Financing and Support

Respond to the questions below as appropriate:

a. As direct University financial support usually cannot be provided, the budgetary expenses under 8 must be met by grant and contract funds or by contributions from departments, Faculties or the School of Graduate Studies. What method is proposed in this case?

The main source of funding until 2015 is a SSHRC MCRI grant. The SSC has also received, and will continue to seek, funding from the Office of the Privacy Commissioner of Canada. Additional funds available to the SSC include Lyon's Queen's Research Chair account, and other small special research accounts. The Sociology department provides funds to cover telephone line expenses.

The SSC constantly seeks out funding opportunities and will continue to pursue long-term funding, both public and private, in consultation with Queen's Office of Research Services, and the Office of Advancement.

b. Is there a need for any deviation from normal University financial and administrative policies? No.

Will members of the Centre or Institute who are full-time members of a department receive any personal remuneration from the Centre or Institute at any time? No.

10. Additional Documentation that may strengthen the request (e.g. letters of support)

Appendix B: Letter of Support, Sociology

Appendix C: Letter of Support, Faculty of Law

The Surveillance Studies Centre (SSC) Queen's University at Kingston CONSTITUTION

Vision Statement

5 The Surveillance Studies Centre (SSC) aims to be a leading global centre for research on expanding surveillance practices and their impact on issues such as privacy. Through collaborative and international projects, the SSC promotes multi-disciplinary understanding of a full range of surveillance and the issues raised by its increasing organizational centrality.

10 Critically engaging the roots and growth of surveillance and “surveillance societies” globally and locally, the SSC tracks general trends as well as national and regional variations in colonial, authoritarian and democratic societies. The SSC provides up-to-date analysis within a community of scholars, seeks appropriate modes of ethical assessment and democratic involvement, raises awareness with the public, and influences policy at every level.

15 The SSC seeks core funding and research grants to maintain top quality cutting edge studies of surveillance in many contexts. Working with academic, policy and NGO partners we aspire to make a lasting difference in organizational practices, regulatory regimes and everyday lives, by working with other groups, contributing to evaluation and policy and raising awareness.

20 The Centre’s mandate includes providing an institutional location for research funded by the Social Sciences and Humanities Research Council of Canada (SSHRC) and other bodies, as well as hosting the online journal *Surveillance & Society*.

Mission and Goals

The Centre builds upon the existing reputation of The Surveillance Project in advancing theoretical and empirical studies of surveillance, represented through numerous workshops, articles, and books that have been produced by members.

25 The Centre’s Mission and Goals

- Advance knowledge of state, private sector and informal surveillance
- Act alongside others as a global clearing house for surveillance research
- Provide an inspiring and supportive research environment
- Facilitate multi-disciplinary collaboration between its members and beyond
- 30 • Build networks for research sharing, ethical assessment and policy development
- Host the flagship online journal, *Surveillance and Society*
- Pursue a strategic and accessible publishing program
- Organize cutting-edge workshops, seminars and conferences
- Secure public and private funding to become financially sustainable
- 35 • Provide a welcoming and enabling context for visiting scholars and students
- Support and mentor students, creating an international alumni network
- Understand responses to, and contribute to democratic debate on, surveillance
- Engage in public outreach with a community focus

These affect the following:

40 **Students**

The SSC provides students with opportunities to: make connections with faculty, with other universities and other graduate students; chances for collaboration; participation within a critical mass of surveillance scholars; information about, and access to, dedicated funding and employment opportunities; expert mentoring towards their higher degrees; access to library and research resources; experience involvement in running a project and activities; and to attend conferences, seminars and workshops

Partners

50 The SSC provides government, industry, arts and culture institutions, and media and policy/activist groups with access to problem-focused research that documents on-the-ground surveillance and privacy issues that have real world relevance; the chance to develop comparative understanding beyond single issues; and contacts with those conducting reliable theoretical and empirical applied research on personal data use.

Community

55 The SSC encourages open public debate on surveillance and endeavors to connect with members of local, national and international communities in order to facilitate greater awareness of surveillance issues and also to continue developing a more comprehensive understanding of what 'surveillance' means to those communities. This will be done through the publication of accessible materials and multi-dimensional outreach outside the University.

University

60 The SSC offers the University the prestige and enhanced reputation of a world-class research centre and enhanced opportunities to attract students and faculty. The SSC will facilitate discussion about 'surveillance' across and within departmental communities and faculties, in order to foster an inclusive, inspiring, and supportive research environment. The University will also be involved in attracting external funding and its library and information networks will benefit from resource-sharing.

Staff

The SSC fosters a supportive working environment with high morale; opportunities for interaction with Centre faculty, students and partners; diversity of tasks; professional development; participation in surveillance research activities; and flexibility of routines.

70 **International Partnerships**

The Centre fosters the development of and maintains links with emerging surveillance studies research networks around the world, currently including groups in Latin America, Italy, Japan, the United Kingdom and Germany. These relationships further promote faculty and student exchanges as well as new joint research ventures.

75

Organizational Structure

The following diagram visualizes the organizational structure:

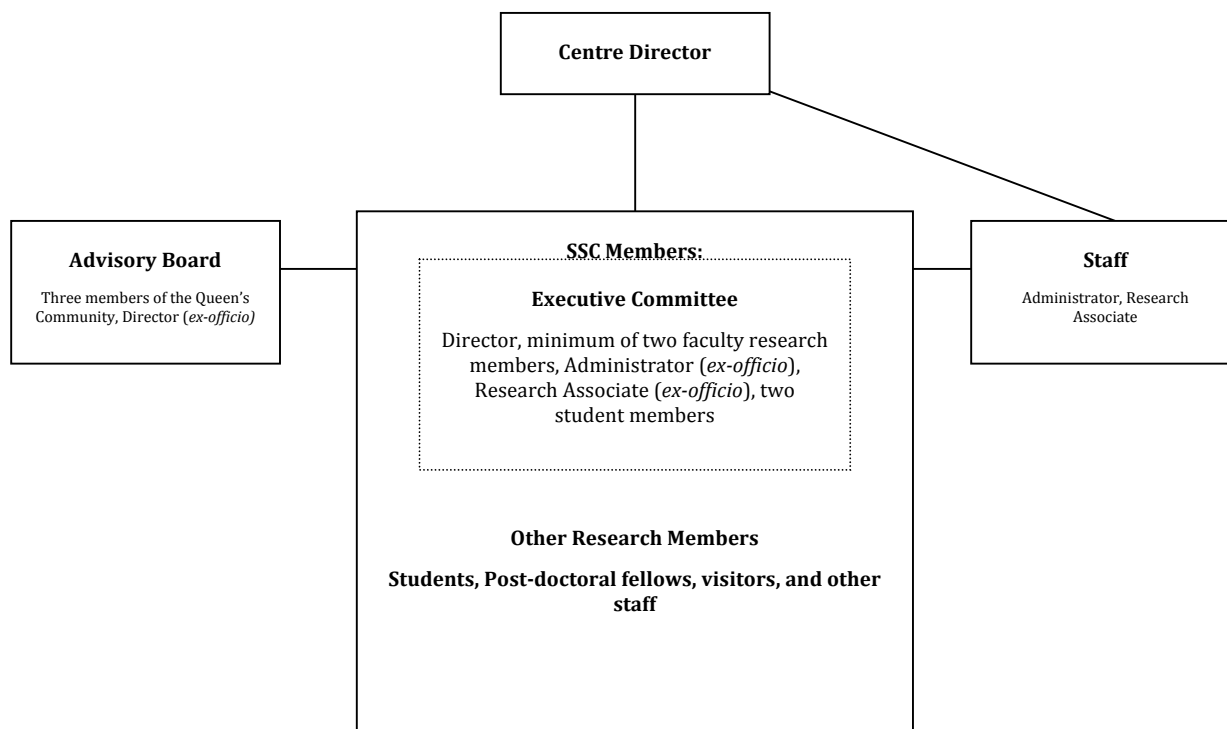
80

90

100

110

115



The Executive Committee

The Executive Committee coordinates the Centre's intellectual direction and administrative oversight and meets at least once annually or as required.

120

The Executive Committee consists of the **Director**, a minimum of two **faculty members**, the **Administrator** (*ex-officio*), **Research Associate** (*ex-officio*) and **two students**.

Members of the Executive Committee (except for the Director, who is subject to review every five years) will be subject to a review every three years in consultation with the Advisory Board, except for student members who are appointed to the Committee by the Director for a term of one year each (one MA and one PhD whenever possible).

125

The Committee makes decisions on items of concern to the Centre such as:

- Existing and proposed members including executive committee members;
- Existing and proposed research policy;
- Existing and proposed research projects/employment of personnel;
- Working conditions of members and employees;

- 130
- Provision of equipment;
 - Provision of space;
 - Representation and communication on behalf of the SSC;
 - Relations with the Dean of Arts and Science, University and other participating organizations.

Director

135 The Director shall have administrative responsibility for the work of the Centre and to ensure that the activities of the Centre operate in a manner consistent with University or Faculty policy where so established. In particular, the Director, in consultation with the Executive Committee when necessary, shall be responsible for:

- Chairing the Executive Committee;
- 140
- Negotiating agreements with University staff members and others who are associated with the work of the Centre and executing these agreements;
 - Negotiating research contracts in accordance with the pertinent regulations of Queen's University, with persons or organizations outside the Centre and executing these agreements;
- 145
- Overseeing the administration of the Centre's human, physical and financial resources and formally reporting to the Executive Committee on an annual basis.

The Director shall be a faculty member appointed by the Executive Committee, having been an existing executive committee member prior to his/her appointment. The Director will be subject to a review every five years, coincident with the formal review of the Centre.

150 Research members

Members are responsible for working collaboratively to ensure that proposed and ongoing research projects and other initiatives are all focused on addressing the jointly agreed-upon vision, mission and values that guide the Centre. Members contribute to organizing and administering major research within the Centre. Communication will be facilitated through regular meetings with members and associated research networks.

- 155
- A minimum of two faculty members participate in the Executive Committee
 - Membership is expected to be cross-disciplinary within the University with involvement of individuals from areas such as the Faculty of Law, Sociology, Political Science, Geography, Film Studies, and the School of Computing

160 Students, post-doctoral fellows and visitors

Surveillance Studies students, post-doctoral fellows and other academic visitors and university staff are members of Queen's Surveillance Studies Centre. These members play active roles in the Centre as both partners in research and as advisors.

Staff

165 An Administrator and Research Associate support the administrative, financial, communication and research activities of the Centre and the Centre Director. These two positions are *ex-officio* members of the Executive Committee.

The Advisory Board

170 The Advisory Board is comprised of three experienced members of the Queen's community as well as the Centre Director, *ex-officio*. This group meets twice-annually to advise regarding the overall success of the Centre.

The Advisory Board shall elect its own chair, who shall not be the Director. Members of the Advisory Board are appointed by the Provost and Vice-Principal (Academic) on the recommendation of the Dean of Faculty of Arts and Science and the SSC Executive Committee.

175 Patent and Copyright

The Centre shall maintain a patent and copyright policy in accordance with current University regulations.

Publications

180 The Centre shall establish and maintain a publication policy consistent with the current University regulations; and shall ensure that the publications of the Centre are of a high standard of academic excellence.

The Centre shall have the right to publish any reports and research analysis prepared at or under the supervision of the Centre.

The Centre is committed to providing open access to research findings whenever possible.

185 Terms of Agreement and Method of Renewal

As per the regulations of Queen's University Senate, the Centre, its budget and direction, will be subject to review under the direction of the Chair of the Senate.

Amendments

190 Amendments to the Constitution of the Centre must have the approval of the Senate of Queen's University and the written consent of the Dean of Arts and Science.

Relationship to the University

The Centre will be governed by the pertinent regulations of the Senate as a faculty Centre in the Faculty of Arts and Science, including the submission of reports and procedures of review.

195 The Centre, reporting to the Faculty of Arts and Science, will submit reports at prescribed intervals. An annual report from the Centre will be prepared and delivered to the Senate Office and made available for public inspection. This annual report will summarize:

- a) the activities, income and expenditures of the past year;
- b) a plan of activities for the forthcoming year, including anticipated income and expenses;

200 c) any changes in research direction or administration that may necessitate altering the constitution as originally approved by Senate.

Closure

205 In the event that the decision is taken to close down the operation of the Centre, discharging any remaining net liabilities of the Centre will be the sole responsibility of the Faculty of Arts and Science.



DEPARTMENT OF SOCIOLOGY

Mackintosh-Corry Hall, Room D431

Queen's University

Kingston, Ontario, Canada K7L 3N6

Tel 613 533-2162

Fax 613 533-2871

<http://qsilver.queensu.ca/sociology/>

June 9, 2011

Dr. Steven Liss
Vice-Principal (Research)
Queen's University

Dear Dr. Liss,

I am writing on behalf of the Department of Sociology in support of the proposal to provide the Surveillance Studies Centre (SSC) at Queen's with full Research Centre Status.

Over the years, under the leadership of Dr. David Lyon, surveillance studies at Queen's has won national and international recognition for the highest quality research in this increasingly significant field of analysis. Furthermore, it has drawn from it contribution to basic research in the field to offer timely and intelligent policy advice to a host of public and private organizations around the world. A good case can be made that the Surveillance Studies Centre at Queen's is the leading institution of its kind in the world.

The Department of Sociology has established a significant and mutually beneficial relationship with the SSC. We are proud that its leadership is drawn from the Department. In addition to Dr. Lyon, the Department has benefited from the appointment of Dr. David Murakami-Wood, Canada Research Chair (Tier 2). Dr. Lyon has made an enormous contribution to the Department over many years and we are pleased that Dr. Murakami-Wood is following in his footsteps. In addition to the teaching contributions of key participants in the SSC at both the undergraduate and graduate levels, the Department has benefited from the training and financial support that it provides to our graduate students. The SSC is a key component of our doctoral concentration in communication and information technology studies and it is happy to host the SSC research seminar series. In addition, several members of the Department have participated and continue to participate in the research activities of the SSC.

Finally, the SSC has worked with several other departments and faculties at Queen's to build precisely the kind of interdisciplinary networks that are so essential to the contemporary research university.

It is with pride and pleasure that the Department of Sociology offers full support for the formal proposal for a Surveillance Studies Centre at Queen's.

Sincerely,

A handwritten signature in black ink, appearing to read 'V. Mosco', with a long horizontal flourish extending to the right.

Dr. Vincent Mosco
Professor of Sociology
Head of Department
Canada Research Chair in Communication and Society



June 13, 2011

Office of the Vice-Principal (Research)
251 Richardson Hall
Queen's University
Kingston ON K7L 3N6

DEAN'S OFFICE
FACULTY OF LAW

Macdonald Hall, Union Street
Queen's University
Kingston, Ontario, Canada K7L 3N6
Tel 613 533-6000 ext 74285
Fax 613 533-6509
<http://law.queensu.ca>

Attention: Dr. Steven Liss, Vice-Principal (Research)

Dear Dr. Liss:

Re: Letter of Support –The Surveillance Studies Centre application to Senate for Centre status

I am pleased to write in support of The Surveillance Studies Centre's (SSC's) application for formal research centre status at the University.

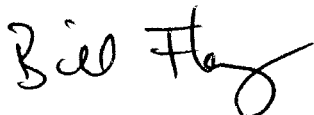
Surveillance is a topical and socially significant area of research in Canada and internationally. Under the expert direction of Professor David Lyon, the Centre has already established itself as a leader in the area. Over the last decade the Centre has secured several major research grants and undertaken an array of scholarly activities, including hosting workshops, seminars and conferences, a visiting scholars program, cutting-edge empirical work, and publishing.

The Centre has developed important institutional synergies within Queen's and, particularly, within the Faculty of Law. Professor David Lyon is currently cross-appointed to the Faculty of Law. Several other distinguished members of the Faculty of Law are also associated with the Centre, including Professor Art Cockfield, whose research focuses on Privacy, Law and Technology, and Tax. Professor Cockfield is currently a member of the Executive Committee of the SSC, and co-investigator to one of the SSC's key initiatives, The New Transparency Project, which secured \$2.5 million in funding in 2008 from the Social Sciences and Humanities Research Council (SSHRC). He is also a lead organizer of an international privacy/security conference planned for September 2011, which will be sponsored in part by The New Transparency Project SSHRC grant.

Other associated members of the SSC from the Faculty of Law include Associate Dean (Research & Graduate Studies), Sharry Aiken, and Professor Malcolm Thorburn, who currently holds the Canadian Research Chair in Constitution, Crime and Security. The SSC also partners with members of several other Queen's faculties and departments and with academics and organizations elsewhere in Canada and around the world.

The SSC's robust leadership, its scholarly success to-date, and the depth and breadth of its expertise within Queen's and world-wide, are certain to ensure the SSC's ongoing status as a world-class research centre. I am pleased to give my support to this well-established and important initiative.

Yours truly,

A handwritten signature in black ink that reads "Bill Flanagan". The signature is written in a cursive style with a large, sweeping flourish at the end of the name.

Bill Flanagan
Dean of Law

**Queen's University at Kingston
Report to Senate
Advisory Committee on the Surveillance Studies Centre**

September 2012

The Advisory Committee for the Surveillance Studies Centre (SSC) was appointed by Dr. Steven Liss, Vice-Principal (Research) with the mandate to advise him on the present state and future prospects of the Centre. The committee was composed as follows:

Dr. Allen Head, Economics
Dr. James Lee, Associate Dean (International and Research), Chair
Dr. Mark Rosenberg, Geography
Dr. Sergio Sismondo, Philosophy
Ms. Sonja Verbeek, Office of the Vice-Principal (Research), Secretary

A notice concerning the establishment of the committee and its terms of reference was reported in *For the Record* on February 16, 2012. Members of the University community were invited to send comments to the committee by February 29, 2012; no responses were received.

During the review process of the Centre, the committee members reviewed several documents, provided by Surveillance Studies Centres as follows:

- 1) Formal Proposal for Research Centre/Institute Status
- 2) Financial Backgrounder March 2012
- 3) List of Publications
- 4) 2009 and 2011 annual reports

Additionally, the Committee invited Dr. David Lyon, Director of the Centre to present his view on the current state and future prospects of the Centre and to respond to any questions presented by the Committee.

Brief Background

In September 2009, the Surveillance Studies Centre (SSC) was granted provisional status as a Queen's Research Centre. The impetus for the establishment of the Centre was primarily a result of Dr. David Lyon's (Sociology) successful application for a SSHRC Major Collaborative Research Initiative (MCRI) grant entitled "The New Transparency." The value of this seven-year grant (April 2008 to March 2015) is \$2.5M. Dr. Lyon's work in Surveillance Studies has been ongoing at Queen's since the early 1990s and from 2000 to 2009 this research was carried out under The Surveillance Project.

The SSC's mission is to:

- Advance knowledge of state, private sector and informal surveillance
- Act alongside others as a global clearing house for surveillance research
- Host the flagship online journal, *Surveillance & Society*
- Pursue a strategic and accessible publishing program
- Secure public and private funding to become financially sustainable
- Understand responses to and contribute to democratic debate on surveillance

Current Activities and Future Prospects

SSC is a multi-disciplinary and international collaborative initiative focused on social science research and is affiliated with the Department of Sociology at Queen's University. SSC researches the ways in which personal data are processed - the workings of large surveillance systems (data, video, audio) and their interactions with ordinary people. Its vision is to be a leading global hub for research on expanding surveillance practices. Through collaborative and international projects, the SSC promotes multi-disciplinary understanding of a full range of surveillance and the issues raised by its increasing organizational centrality.

The Centre is extremely active in the Queen's community and beyond. For more than five years it has been running a Seminar series on a biweekly basis throughout the academic year. SSC offers a week-long Surveillance Studies Summer Seminar for 30 graduate students, post-docs and junior faculty every other year, providing a unique opportunity for national and international networking, as well as a multi-disciplinary learning experience that addresses key issues of surveillance studies in ways that enhance the participants' own research projects. Additionally, the SSC hosts conferences, workshops, and a reading group that meets monthly throughout the academic year.

According to SSC reports, there are 10 faculty involved in the Centre, representing two Departments (Sociology, Film Studies), one Faculty (Law) and a School (Computing). The SSC has strong connections with a number of universities, both nationally and internationally, including Simon Fraser University, Université de Montréal, Université du Québec à Montréal, Sydney University, Sheffield University, London School of Economics, Newcastle University, Sciences Po, Kwansai Gakuin University, Federal University of Rio de Janeiro. Researchers within the SSC have had prolific writing and publication activity, including 36 publications (books, book chapters, journal articles, three reports, three conferences and lectures organized and twelve conference papers (not published in books or journals) in 2011.

SSC partners with a number of other organizations including the Office of the Privacy Commissioner (Canada), the International Civil Liberties Monitoring Group and other concerned with data protection and civil society responses to expanding surveillance. Dozens of students have been involved with the Surveillance Project and the New Transparency, with the SSC currently supporting a number of trainees, including 6 Sociology Masters students, 6 Sociology PhDs, one current (and 2 previous) Post-Doctoral Fellows and two visiting students. The SSC also provides office space and administrative support for self-funded academic visitors. Academic visitors have come to Queen's from Iraq, China, Japan, Switzerland, Italy, Australia and Mexico.

In 2011, at the mid-point of the New Transparency MCRI project, SSHRC undertook a mid-term review of the project, which included a site visit to Queen's. The New Transparency project received a favourable review, which reflects very positively on the operations and governance of the SSC and its future prospects to continue its leadership in surveillance research. The next major phase of the project will involve a substantial report, *Surveillance in Canada*, synthesizing research done by SSC and others on the topic. Independent of the New Transparency project, SSC is developing research on social media in the Arab Spring, and on new ethical issues arising from expanding surveillance.

Governance

The Executive Committee coordinates the Centre's intellectual direction and administrative oversight and consists of the Director, a minimum of two faculty members, the Administrator, Research Associate and two students. Members of the Executive Committee are subject to review every three years in consultation with the Advisory Board, except for student members who are appointed for a one-year term. The Committee makes decisions regarding existing and proposed membership, research policy, research projects and employment of personnel, etc. The Director has administrative responsibility and oversight of the Centre, he chairs the Executive Committee, negotiates research contracts and employment agreements for staff. Research members are responsible for working collaboratively to ensure that research projects are focused on the vision and mission of the Centre. The Advisory Board is comprised of three members of the Queen's community, as well as the Centre Director (ex-officio). The group meets twice annually regarding the overall success of the Centre.

Financial decision-making of the SSHRC MCRI grant is made by the co-applicants of the New Transparency project, who make up the executive team: four co-applicants (including Dr. Lyon, the Principal Investigator) are based at the SSC. The other four co-applicants are based at the University of Toronto, University of Victoria, the University of Alberta and the Open University in the U.K. These node institutions, including the SSC, receive a portion of the MCRI funds annually. Financial decision-making regarding the SSC is split between the New Transparency executive team and the SSC executive committee because the SSC utilizes the MCRI grant to operate.

Budget/Financial Outlook

The SSC provided a budget to the Committee, forecasting expenditures for the Centre for the next five years. The main source of funding for the SSC is the SSHRC MCRI grant, which is in place until 2015. Additional funding has been received from the Office of the Privacy Commissioner. Other funds available to the SSC have come from Dr. David Lyon's Queen's Research Chair allotment, as well as special research accounts. The Department of Sociology also provides limited funds. The final year of revenue in the presented five year budget is not from MCRI funds, and at this time, the SSC is actively pursuing future funding avenues beyond April 1, 2015 to fund the fifth year of Centre operations.

Committee Deliberations

In its preliminary meeting, the Review Committee identified two main areas for discussion: prospects for funding the SSC until the end of its five-year mandate and future leadership of the Centre.

One of the concerns for the Review Committee was that the SSHRC MCRI funding will end the year prior to the five-year mandate of the Centre. This led to Committee discussion on the sustainability of the Centre, the Centre's ability to fulfill its five-year mandate, given its unidentified funding source for the fifth year of operations, and the financial risks involved for the university in approving the Centre for a full five-year period. In reviewing the history of funding received by the Surveillance Project, and in speaking with David Lyon, the Committee decided that the highly successful track record of the SSC and its predecessor project in attracting external funding was a good indicator for future funding. Also, given Dr. Lyon's outstanding international

reputation in the field and his extraordinary work to date in surveillance research, the risk of no future funding is minimal. Should the unexpected happen, however, the financial risk remains low for the university, given the small size of the staffing complement.

The second area of deliberation was succession planning for the SSC. Dr. Lyon has been the lead on surveillance research at Queen's for many years and questions were raised about possible successors to his leadership. The Committee, in its review and discussions, have clearly identified that there is, in fact, leadership potential in the Centre with Professor David Murakami Wood (Canada Research Chair Tier II) and Professor Art Cockfield, Faculty of Law, both of whom have taken leadership roles within the SSC and who conduct primary research in the area of surveillance.

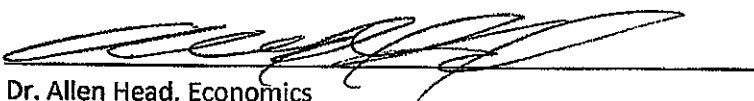
Conclusions and Recommendations

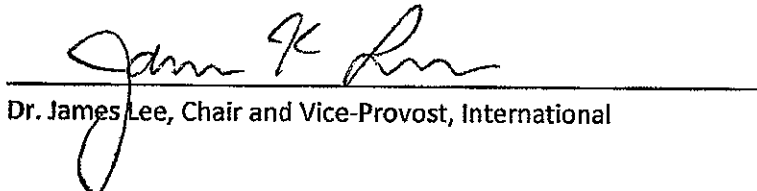
In conclusion, the Advisory Committee agrees that the Centre has been successfully meeting its mandate and that Dr. Lyon has been an outstanding leader in driving the SSC's mandate. The SSC has developed a significant international profile in a unique area of research that is growing in importance. Further, it is supported by the Department of Sociology and the Faculties of Law and Business, as well as the School of Computing. The scholars within the Centre have a solid publication record related to surveillance research, the Centre attracts and supports a flow of international visiting scholars and students, has demonstrated excellence in its training of graduate students, post-docs and junior faculty.

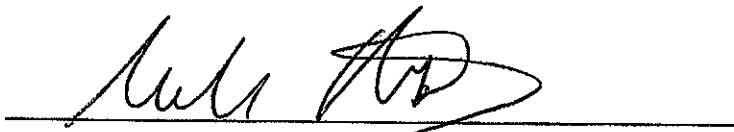
The Centre is multidisciplinary in nature and has established relationships with key partners within, and external to, academia. Finally, the SSC has adequate human resources and committed financial resources until 2015. With its significant international reputation, the SSC is well-placed to secure additional funding to at least the end of the five-year approval period. The Committee, therefore, recommends that the Surveillance Studies Centre (SSC):

- 1) be granted full Centre status for five years;
- 2) be proactive in seeking alternative or multiple funding sources to ensure financial viability to the end of the Centre's mandate in 2017;
- 3) identify and mentor future leaders in Surveillance Studies research
- 4) would benefit from working with Advancement to help identify fundraising priorities.

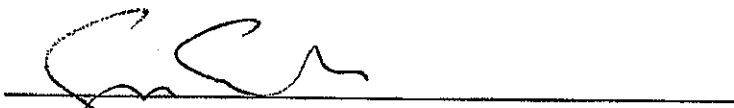
Respectfully submitted,


Dr. Allen Head, Economics

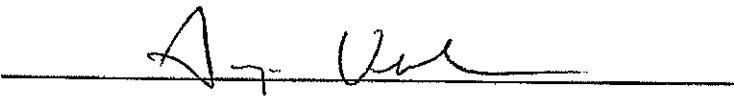

Dr. James Lee, Chair and Vice-Provost, International



Dr. Mark Rosenberg, Geography



Dr. Sergio Sismondo, Philosophy



Sonja Verbeek, Office of the Vice-Principal (Research)