

# The Role of Functional Impairment in Assessment and Treatment

Lawrence Lewandowski, Ph.D.

Syracuse University

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“You care for nothing but shooting, dogs and rat-catching, and you will be a disgrace to yourself and all your family.”

Who said this about his son?

# The Answer:



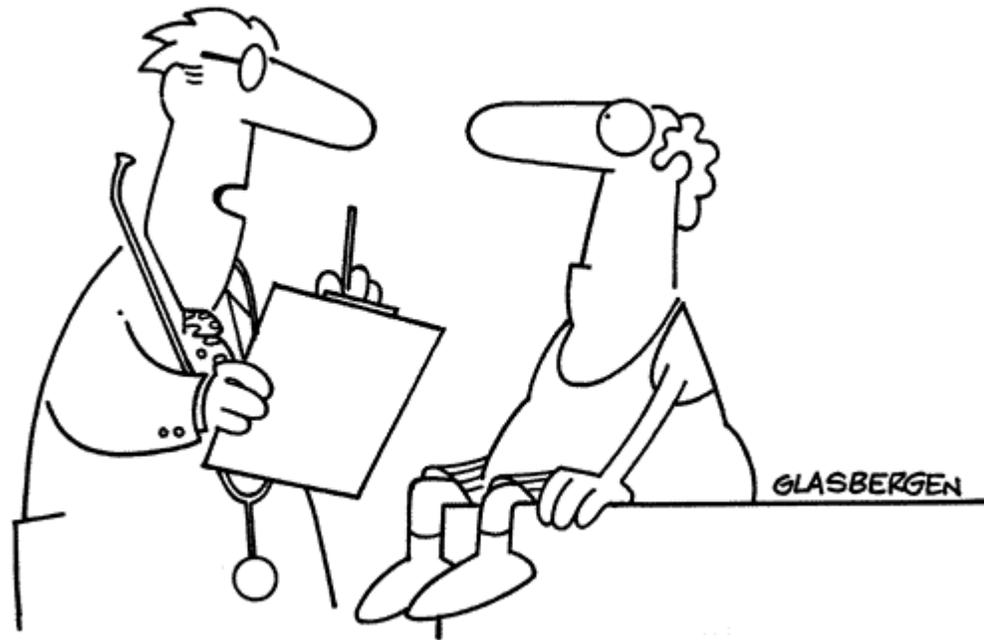
- Robert Darwin, in 1830, talking about his son, Charles.

# A number of disorders are defined primarily by symptoms

- ADHD
- Anxiety Disorders
- Mood Disorders
- Concussion
- Oppositional Defiant Disorder
- Autism Spectrum Disorder

In assessing for these disorders, professionals tend to use symptom checklists, behavior rating scales, self-report measures, and observational systems, which for the most part measure symptoms alone, or do nothing to distinguish symptoms and impairment

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**“We can’t find anything wrong with you, so we’re going to treat you for Symptom Deficit Disorder.”**

# Symptoms vs. Impairment?

- “Symptoms” are considered to be the behavioral expressions associated with a disorder (Barkley et al., 2006).
- “Impairment” involves the consequences that ensue as a results of these behaviors.
- Goldstein – a limitation resulting from a psychological, physical, or cognitive disorder that manifests as a reduced capability to meet the demands of life, such as physical mobility and self-care needs, family and social interaction expectations, domestic commitments, and school or work obligations.
- Impairment is mentioned as a criterion in a majority of DSM-5/ICD 10 disorders
- Legal definitions of disability (e.g., ADA) indicate that a diagnosis is not sufficient to determine a disability. An individual also must demonstrate a “limitation in a major life activity (e.g., learning) relative to most people.”

# Symptom-Impairment Relationship

- Ratings of symptoms and impairment are correlated, but only moderately at best.
- ADHD symptom and impairment measures, depending on the measure, correlate in the range of .10 to .60. RSI total & Symptom score  $r = .29$
- Symptoms are therefore not a proxy for impairment.
- Adding impairment criteria dramatically reduces identification rates; Our study of 314 clinic referred children for ADHD found 81% met symptom criterion on a maternal checklist. When we imposed the CBCL Attention scale T-score of 65 and a Global Impairment Index score of -1.5 SD, the diagnostic rate fell to 19%.
- *Diagnostic decisions based on both factors are more conservative, probably more accurate, and probably related to need for treatment.*

# Why assess for impairment?

- Symptoms are common, impairment not necessarily
- Symptom-alone assessment is more likely to lead to false positive diagnoses (see Wakefield, 2006); Combining symptom and impairment metrics reduces the high rates of diagnosis.
- It might make sense to treat or accommodate a person's impairments more than their symptoms.
- Does a disability exist without impairment?



*"How's the self-diagnosis coming?"*

# Recent Research: Symptoms and Impairment

- 457 College Students
- Administered several measures including the Barkley Adult ADHD Rating Scale and the Barkley Functional Impairment Scale.
  - 42 participants self-identified as being diagnosed with ADHD; however, only 14 (33%) met symptom criteria. Only 8 (19%) reported significant functional impairment.
  - 25 students met symptom cutoff for ADHD (6 symptoms or more endorsed “often” or “very often”), and 15 (60%) also met criteria for impairment.
- People with a diagnosis may not be impaired, while people with impairment may not have a diagnosis ... Oops!

# Do clinicians measure impairment?

- Gordon, Lewandowski, Murphy & Dempsey (2002) surveyed clinicians on a variety of issues and found weak agreement on issues related to how impairment is determined and how it should be used in diagnoses and accommodation decisions
- Nelson et al. (2014) reviewed 100 randomly selected psychological reports and found that childhood impairment was “rarely documented,” while current impairment (e.g., academic) was documented in 59% of cases.
- Clinicians often infer impairment from a clinical interview (subjective complaints), which again is self-report.

# How do we measure impairment?

- Interview (patient complaints)
- Observation (inattentive, impulsive, anxious, slow)
- Performance testing (cognitive, academic, neuropsych)
- Functional (e.g., school GPA, job success, relationships, life skills)
- Impairment scales

# When is impairment...impairment?

- A person has symptoms or a diagnosis
- An individual is distressed, discomforted, or upset by their condition
- A person gets lower than expected standardized test scores or fails a Board exam (medical, law, business)
- Achievement is discrepant from IQ
- Performance is 1, 1.5, or 2 SDs below the normative mean
- A person is unable to manage school, work duties, relationships, etc.

# How do we measure impairment?

- **Unidimensional measures**
- Examples:
  - Barkley Functional Impairment Scale (Barkley, 2011) and BFIS-CA (Barkley, 2012)
  - Children's Global Assessment Scale (Bird, 1999)
  - Home Situations Questionnaire (Barkley, 1997)
- Pro's:
  - Ease of administration, some exhibit good psychometric characteristics, provides big picture
- Con's:
  - Generally limited norms, unknown how sensitive the measure is to change over time, less specific in terms of types of impairment

# How do we measure impairment?

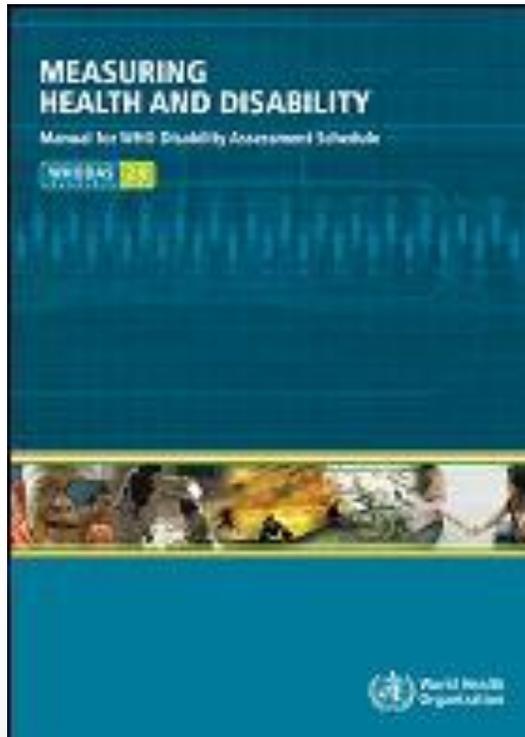
- **Multidimensional measures**
- Examples:
  - Impairment Rating Scale (Fabiano et al., 2006)
  - Weiss Functional Impairment Scale-5 (Weiss, 2014)
  - WHODAS 2.0
  - Rating Scale of Impairment (5-18 yrs) (Goldstein & Naglieri, 2016); 6 scales + Total
- Pros: most are standardized/norm referenced, provide a more specific picture than unidimensional measures, more useful for treatment
- Cons: sensitivity to change, not disorder specific, lack validity data at this time

# RSI by Goldstein & Naglieri sold by MHS



- Parent & teacher forms (5-12; 13-18 years)
- 29-41 items; 5-10 minutes
- School, Social, Mobility, Domestic, Family, Self-care and Total scales (T-scores)
- Good standardization & psychometrics
- Can inform treatment
- All online

# WHODAS 2.0



- For adults; self-report
- 36 or 12 item versions
- Takes 5 minutes or less
- Communicating, Mobility, Life Activities, Participation, Self-care, Getting Along (%iles)
- Health focus
- International; well-researched
- Can inform treatment
- Free

# Whose report card?

Grammar	fair. -
Diligence	Began term well, but been <u>very</u> naughty. - on he has m
Place in	4 <sup>th</sup> Set of 10 Boys for $\frac{1}{2}$ Term.
Mathematics	Quickly improved, but
French	Not very good.



# Implications for Assessment

- This talk is an “infomercial” for measuring impairment.
- There is compelling rationale to include impairment measures in our assessments to improve diagnosis, align with legal mandates, and identify treatment targets.
- Impairment measures continue to improve but need research to validate
- Which measure(s) best serve(s) our professional purposes and practices
- We need more and better research on assessing impairment in children, what it looks like across age and different disorders, as well as how impairment can be predicted, prevented, and ameliorated.

# Implications for Treatment

- Many argue that we should treat impairments rather than symptoms
- New research suggests that people with subthreshold symptoms often have as much or more impairment than those above threshold
- Adaptive behavior scales (e.g., Vineland) provide guidance for certain functional domains
- The RSI and WHODAS also can be used to identify treatment targets
- We need studies that link assessment of impairment to specific treatment options that then demonstrate efficacy
- We need to use impairment measures (pre and post treatment) to monitor improvement in health, mental health, and education.

# Questions?

Thank you and best of luck!