



## Minutes

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### MEETING OF THE SENATE

A meeting of the Senate was held on Tuesday September 25, 2012 in Robert Sutherland Hall, Room 202 at 3:30 p.m.

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**Present:** D. Woolf (Chair) **Senators:** Adams, Aulhouse, Bakhurst, Berkok, Bowers, Bridges, Brohman, Brouwer, Brunner, Cheng, De Souza, Detomasi, Dimitrakopoulos, Fachinger, Garvie, Gill, Harrison, Hart, Hird, Johnson, Jones, LaFleche, MacDougall, MacLean, Martin, McCormack, Morelli, Moyes, Murphy, Oosthuizen (Vice-Chair), Pardy, Pilkey, Prince, Purda, Remenda, Reznick, Saunders, Scribner, Sienna, Slobodin, Tierney, Trothen, Tsui, Wang, Ward, Whitehead, Woodhouse, Yang, Young, Yousefi, L. Knox (Secretary), C. Russell (Associate)

**Via teleconference:** Elliott

**Also present:** T. Alm, S. Aylesworth-Spink, J. Bruce, I. Bujara, B. Burgar, T. Chishti, Y. Cooper, R. Coupland, M. Dineen, L. Faight, A. Girgrah, T. Harris, R. Herscovici, E. Hill, R. Lemieux, S. Marlin, V. Matak, G. MacAllister, K. O'Brien, L. Peterson, J. Pierce, W. Praamsma, S. Rigden, T. Shearer, H. Smith, C. Sumbler, A. Vienneau, K. Wallace, P. Watkin

## I OPENING SESSION

The Chair welcomed new and returning senators to the first meeting of the 2012-13 academic year. With regret, he announced the passing of several Queen's community members over the summer. A moment of silence was observed to honour the memory of:

- Chancellor Emeritus the Hon. Peter Lougheed (September 13), who served as Chancellor from 1996 to 2002
- John Bannister (September 11), a former Secretary of the University and Secretary of the Board of Trustees. A Commerce '47 graduate, he served in these joint capacities from 1966 to 1984 and was a Queen's staff member for 35 years
- Student Emma Purdie, Artsci'15 of Peterborough (July 13) who died from injuries sustained in a fall
- Recent graduate Walter Gerow, Ed'12 of Pontypool (August 6), who died as the result of an accident.

### 1. Appointment of the Secretary of the Senate (Appendix A, page 1)

The Chair noted that, in the absence of a Secretary of the Senate, following the retirement of Georgina Moore in May 2012, the first order of business was to appoint one.

**Moved by Senator Oosthuizen, seconded by Senator LaFleche, that the Senate approve the appointment of Lon Knox as Secretary of the Senate, effective September 25, 2012.**

**Carried 12-46**

On behalf of the Senate, the Chair welcomed Mr. Knox to the meeting room and congratulated him on his appointment.

**2. Adoption of the Agenda**

**Moved by Senator LaFleche, seconded by Senator Berkok, that the agenda be adopted as circulated with the provision that the report of the Honorary Degrees Committee be received in Closed Session at the end of the meeting.**

**Carried 12-47**

**3. Adoption of the Minutes of the Meeting of May 22, 2012 (Appendix B, page 2)**

**Moved by Senator MacLean, seconded by Senator Brouwer, that the minutes be adopted as circulated.**

**Carried as amended 12-48**

(See motion 12-49 to amend, which was carried)

**Moved by Senator Morelli, seconded by Senator Young, that the minutes be amended through the addition of the following sentence to Section III 4 a) Scholarships and Student Aid on page 10:**

**“It was noted during discussion that the policy change necessitated a reduction to the GPA requirements for the various scholarships and student aid and it was stated during debate that such reductions were believed to be a direct result of deficiencies in the new GPA grading system, which were felt to be disadvantageous to some students.”**

**Carried 12-49**

A further amendment, to rephrase a comment in Appendix B, page 3, that senators should lend the administration support in speaking back to government initiatives which have a detrimental impact on post-secondary education, was accepted as being friendly.

**4. Business Arising from the Minutes**

The Principal provided an update on his commitment at the May 22, 2012 meeting to pass on the concerns expressed regarding the new provincial guidelines for travel expenses that prohibit the use of per diems and require original receipts for all expenses. It was acknowledged that these requirements can create an undue hardship for researchers who travel to regions of the world where receipts are not typically available. The Principal wrote to the President of the Council of Ontario Universities and requested that the COU raise this issue with the provincial government on behalf of Ontario universities. Although it is possible to provide exemptions in some cases where it is not possible to obtain receipts, the Principal reported that the government has been unsympathetic to the universities' position. The new guidelines are based on an existing policy of providing no per diems to Ontario Public Service employees. Queen's AVP Finance Donna Janiec and her colleagues at other universities have also made representations to the government without success. However, in response to the concerns raised about travel to remote areas, Queen's now has a process in place that will allow per diems for research-related travel in areas where receipts are not typically available.

5. **Principal's Report** (Appendix C, page 18)

a) **Schedule Highlights May-September 2012**

The Principal drew attention to a report on his schedule highlights in the agenda package.

New Secretary of the University

The Principal welcomed Lon Knox, new Secretary of the University and former General Counsel and University Secretary at Trent University in Peterborough, Ont. Mr. Knox's appointment began August 15, 2012.

Orientation

The Principal noted the success of orientation and general good behaviour at off-campus gatherings during September. He thanked the University's community partners, most notably the police and hospitals for responsiveness and attentiveness as and where needed and, in particular, the Principal thanked the student leadership in promoting responsible student behaviour.

The Hon. Peter Lougheed

On September 21, the Principal attended the funeral for Chancellor Emeritus Peter Lougheed in Calgary. Queen's representatives included Principals Emeriti William Leggett and Ronald Watts, former Board Chair John Rae, Dean of Law William Flanagan, Former University Secretary Alison Morgan and former Associate Secretary of the Board Lee Tierney. Queen's students were represented by bagpiper Erin Bell.

Government Relations

Over the summer, the Provincial government released its discussion paper, *Strengthening Ontario's Centres of Creativity, Innovation and Knowledge*, in which a number of discussion questions were raised for consideration by stakeholders. Subsequently, a call to institutions for the development of Proposed Mandate Statements was made by the Ministry of Training, Colleges and Universities. More would be said about this matter later in the meeting.

University Council

At the May 22, 2012 Senate meeting, the Principal provided an introduction to potential changes to University Council; a body consisting of all Senate and Board members plus an equal number of elected councillors. On August 22, 2012, the University Council Executive Committee approved the Roadmap for Reform, which was circulated to all members of Senate, in their role as ex-officio members of Council. The Roadmap for Reform established a process and timeframe to conclude the reform discussions which began two years ago. As called for in the Roadmap, the University Council Executive Committee established the Reform Planning Group, which met for the first time on September 24. Similar to the Executive Committee, the Planning Group has representation from the Senate, Board of Trustees and the administration, but it is chaired by an elected university councillor and has a majority of members from among that group. An ambitious timetable has been set to bring forward a recommendation for reform from the Planning Group. Once broad consultation has concluded, a final recommendation will require endorsement by Senators, Trustees and elected councillors.

Board-Senate Retreat

A retreat is planned for the morning of September 29 to provide senators and trustees with an opportunity to develop a better understanding of each other's roles in University governance. The session, facilitated by Erik Lockhart, Queen's School of Business Executive Decision Centre, focuses on how the University can respond to challenges facing the sector using as background, the government white paper: *Strengthening Ontario's Centres of Creativity, Innovation and Knowledge*.

#### Community Campaign Launch

Prior to the public launch of the comprehensive campaign on Saturday, September 29, a community launch to preview the campaign will take place on Thursday, September 27 at noon in Grant Hall. The campaign goal is to raise at least \$500 million and also to raise Queen's national and international profile.

#### **b) Non-Academic Discipline**

The Principal drew attention to a letter in the September 25 Senate agenda that has been referred to the Senate Committee on Non-Academic Discipline (Appendix N, page 65). The letter describes the Principal's recent agreement with the Alma Mater Society, following a comprehensive review of the Student Non-Academic Discipline System by the University, the AMS and other stakeholders. The review identified a number of areas as outlined in the letter in which the AMS, SGPS, Residences and Athletics could work more collaboratively and efficiently. The Principal thanked the Review Committee and the AMS for their efforts and continued commitment to the peer review system.

The Principal invited AMS President, Senator Johnson, to respond. Senator Johnson reported that he was pleased to report that the review process, which started nearly a year ago, had concluded and that the AMS is confident in the future of the system.

In answer to a question about potential access to the Non-Academic Discipline System database by faculties to determine whether there is a correlation between non-academic discipline situations and academic performance issues, Senator Johnson replied that the AMS was focused on having its own access to the Non-Academic System database and that it could not see extending access for this purpose, at this time. The Principal concurred that this issue had not been a point of focus during discussions over the course of the year.

### **6. Provost's Report**

#### Academic Department Visits

The Provost reported on his efforts over the past academic year to engage with the Queen's community. The importance of the administration communicating more clearly its priorities, goals and decisions was a clear message. As a result, the Provost plans to visit each academic department and unit to discuss priorities and to hear about concerns, successes and opportunities.

The Provost reported next on several issues, some having carried over from the previous academic year:

#### Grade-Point Average Model

As a result of several important discussions at Senate about the new GPA model during the 2011-12 academic year, the Provost formed a working group to provide him with an overview and make recommendations on possible modifications to the model. The group has just submitted its report. After review, the Provost will submit it to Senate with an update on action taken. Where appropriate, items will be referred to the Senate Committee on Academic Procedures (SCAP) for consideration.

#### Budget information

At the May 22 meeting, the Provost was asked to explain the increase to the Principal and Vice-Principals' portfolios in the 2012-13 budget.

In the 2011/12 budget, the P/VP portfolio budget was approximately \$77.7 million; in the 2012/13 budget the projection is \$81.3 million, a difference of approximately \$3.6 million in base funding. Faculty budgets, although falling within the responsibilities of the Provost, are not included in the above number.

In the VP (Finance and Administration) portfolio:

- \$1.3 million was included in the budget for reinvestment in Human Resources; with the increase in the number of labour agreements and associated programming, this reinvestment is meant to bolster HR to ensure a responsive and professional HR team; providing timely and professional services at the front-end will, in the long-run, decrease costs for the University.
- \$990,000 investment in PeopleSoft sustainment, both in human resources and software support fees.
- \$230,000 reinvestment in PPS and Audit Services, which included maintenance and custodial costs for new buildings (mainly Goodes Hall expansion) and an additional position in Audit Services.

In the Provost portfolio:

- \$110,000 has been budgeted to offset a structural deficit in the University Archives.
- \$160,000 had been budgeted to hire a Director for the Performing Arts Centre.
- The additional increases are related to money transferred from central accounts to P/VP budgets. This money does not represent new money in the budget but rather reflects a change in reporting. Historically some money, set aside for dedicated strategic purposes, was held in central accounts for units to use when required. This move of money allows for the funds to be held within units' budget allocations, and will now be subject to budget cuts and be managed by the units within their operating accounts.

The Provost announced a new website for information and feedback on the new budget model. It can be accessed by clicking the links off the [queensu.ca/provost](http://queensu.ca/provost) website. A budget presentation will take place immediately preceding a future Senate meeting for all community members as well as senators.

#### Reviews, Searches and Renewals

Searches are under way for the Dean of Arts and Science and the Dean of Law and the renewal review process for the Dean of the School of Business is taking place. External reviews of these faculties will also be conducted. The search for a Director of the Isabel Bader Performing Arts Centre continues. Interim director appointments have been made at the Agnes Etherington Art Centre and the Centre for Teaching and Learning.

#### Preliminary Enrolment Report

The official headcount takes place on November 1. Senate will be provided with a further update at the November meeting. In the interim, the following figures ought to be regarded as preliminary:

Undergraduate enrolment is on target with the Senate-approved Enrolment Plan. Targets in most programs have been met or exceeded. There are 4,289 first-year admissions, compared to the November 1 target of 4,152. First-year enrolment at the Bader International Study Centre is strong with 133 full-time students, compared to 106 last year. The 2012 BISC registrations are the highest since 2008. Across undergraduate programs at the University, the first year class had the following attributes:

- Incoming class average: 88.7%
- 62.1% female
- Students from all 10 provinces and all 3 territories in the class of 2016
- 75.8% Ontario
- 9.1% from B.C.
- 4.2% from Alberta
- 4.5% from other Canadian Provinces and Territories
- 6.4% attended high school outside of Canada

Graduate student numbers fluctuate because students have until early October to register, while

others are completing their studies during the fall. Graduate enrolment in School of Business programs is strong. Demand for a Masters in Finance is high, with 116 admissions, compared to the target of 63. An additional section was added.

International Graduate and Undergraduate enrolment increased by approximately 75 students. The international undergraduate student population represents approximately 5% of the total enrolment and more than 20% at the graduate level.

Total full-time enrolment is approximately 20,600 students compared to 19,957 on November 1, 2011.

#### HEQCO Update

At the May 22 meeting of Senate, the Provost said he would provide an update on a discussion of a Queen's contract with the Higher Education Quality Council of Ontario (HEQCO) having to do, in part, with the waiving of moral rights.

The Provost reviewed the issue, which was discussed at length at the May 22 meeting and provided information concerning his inquiries respecting the matter. In answer to Question 3 a. [appearing below in II Question Period] he clarified that a departure from academic integrity was not part of his review and cautioned that some of the information involves personnel issues. Academic Integrity investigations are launched commonly by complaint; however, the individual concerned has never filed a complaint with the University.

The Provost noted that:

- In signing a moral rights waiver, one generally waives the rights to prohibit other people from modifying one's work.
- Most contracts with the federal and provincial governments require a moral rights waiver; HEQCO is an arms-length agency of the provincial government and follows similar practices.
- In 2007-08, Queen's Office of Research Services negotiated with HEQCO to relax its moral rights waivers requirement with limited success. HEQCO agreed to a modification to the usual moral rights waiver; once HEQCO declared the deliverable was final, it would not edit or alter it without the consent of Queen's or the authors.
- The individual in question was a full-time employee in the Office of the Dean of Student Affairs.
- In the spring of 2009 the ORS reviewed the individual's contract and provided comments noting that she was required to sign a moral rights waiver subject to the modification negotiated with HEQCO. ORS requested that she sign a document acknowledging her agreement to waive her moral rights. This document was signed and witnessed. The individual also signed the contract which included a commitment that she would "ensure that any faculty students or staff dealing with the project are aware of the terms of agreement and agree to abide by them." It was her responsibility to inform any one else involved that by engaging in the project, they too had waived their moral rights.
- The individual left the University in August, 2011.
- In spring 2012, the individual asserted in a communication to HEQCO that she had submitted the final version of the document in June 2011. HEQCO in an email response to her in June stated that the version was not final and further changes were required.
- The individual provided correspondence indicating that she was continuing to work on the project in September 2011. HEQCO has advised, however, that no further submissions were received.

[Secretary's Note: The Provost addressed questions 3 b. and 3 c. at this point in the meeting, which were also later discussed during Question Period. For clarity, the minutes from this portion of the meeting respecting answers to questions 3 b. and 3c. have been moved to II Question Period.]

On a point of order, it was agreed that the Provost would answer the rest of the questions under II Question Period.

## 7. Proposed Mandate Statement

The Principal noted that on June 27, the Ministry of Training, Colleges and Universities released its discussion paper, *Strengthening Ontario's Centres of Creativity, Innovation and Knowledge*. The MTCU announced a series of engagement sessions to discuss themes outlined in the paper and encouraged universities, colleges and other post-secondary stakeholders to provide written feedback by September 30. On August 7, MTCU provided a template for universities and colleges for the development of a Proposed Mandate Statement and associated priority objectives. This statement will form the basis of discussions between each institution and the government leading to Strategic Mandate Agreements. The Principal clarified that the University is not working on a strategic mandate agreement at this time, but rather a statement to initiate a dialogue with the provincial government. Queen's Academic and Research plans provide a roadmap for nurturing the academic mission and the priorities contained in these plans will link to the statement. The Provost and Principal have since consulted several campus and sector stakeholders to determine how best to position Queen's. At the foundation the University has both the Academic and Research Plans, two documents that, after extensive consultation, have provided a pathway for the nurturing and development of our academic mission.

The Principal referred to his recent writings on the future of Queen's and the post-secondary system: *The Third Juncture* and his August 9 op-ed in the *Globe and Mail* where he expressed Queen's aim to preserve the soul of the institution; mature yet adaptable and of great value in the 21<sup>st</sup> century.

The Principal invited Senator Harrison to make a presentation on the University's Proposed Mandate Statement.

The presentation is attached to the minutes.

It was noted during discussion following the presentation that several of the proposals in the MTCU discussion paper, *Strengthening Ontario's Centres of Creativity, Innovation and Knowledge* seemed financially rather than academically designed. Concerns were raised about government directives, including the value of online learning and three-year degrees, the proposed transferability of 100- and 200-level credits and a perceived lack of understanding by the provincial government of the University's needs.

The Principal observed that the government still contributes 47 per cent of the University's funding, and, while many share scepticism about certain initiatives, the three-year degree among them, the best approach is to map Queen's priorities with those of government, and find areas of common agreement while staying true to the University's values.

The Principal sought and received the consent of Senate to answer question 2 i., stated on the agenda under Question Period, at this point as he was required to leave the meeting at 5 p.m.

### 2. From Senator Jones on:

#### i. Justice Iacobucci's advice to Senate

Would the Principal please explain to Senate when it might expect to receive the legal advice of Justice Iacobucci concerning the relative authorities of Senate and the Board of Trustees over decisions that have both financial and academic impacts?

#### Oral response provided by the Principal

It is expected that the legal opinion from Justice Iacobucci will be delivered this fall. Although his office has not confirmed a specific date, the Principal hopes to share the report by the November Senate meeting.

The Principal left the meeting and the Vice-Chair, Senator Oosthuizen, assumed the Chair.

**8. Queen's Initiative Campaign Launch**

- a) Presentation by VP (Advancement) T. Harris  
VP (Advancement) Harris's presentation is attached to the minutes.

**9. Other Reports Requested by Senate** (Appendix D, page 24)

- a) Senate Committee Agenda Topics  
There were no questions.

**II QUESTION PERIOD** (Appendix E, page 28)

**1. From Senator Bridges on student initiation of academic integrity investigations**

What is the procedure by which a student or anyone else may initiate an investigation or file an allegation of a departure from academic integrity by a fellow student, by a faculty member, or by administrators?

Background: Senate's Academic Integrity Policy Statement (approved by Senate 26 January 2006) states that "Queen's students, faculty, administrators and staff [...] all have ethical responsibilities for supporting and upholding the fundamental values of academic integrity." Likewise, as Jim Lee, then Academic Integrity [AI] Advisor to the Vice-Principal (Academic), emphasized in 2009, "the principles of academic integrity should apply to all members of the university community" ("The broader scope of academic integrity").

Yet the Senate Policy on Academic Integrity Procedures (approved by Senate Oct. 2008; revised Oct. 2010; amended Oct. 2011) repeatedly references "students" as the only parties to be investigated for departures from AI (e.g., "Preamble, para. 1; sec. 2.1.1, sec. 4 passim). It offers procedural guidelines for instructors and administrators to investigate departures by and impose sanctions upon students, but offers no procedural guidelines for anyone to investigate departures by instructors and administrators.

Likewise, the Arts and Science webpage "Departure from Academic Integrity: Guidelines for Instructors" repeatedly references "students" as the only conceivable offenders. No mention or provision is made concerning possible departures from AI by instructors or administrators. In the 2012-13 Arts and Science Calendar, Academic Regulation 1: Academic Integrity likewise assumes throughout (e.g., secs. 1.3.1, 1.3.2, 1.3.3(iii), 1.3.4.1) that departures from AI will be by students, and considers instructors and administrators only as potential investigators and disciplinarians. The procedures section (1.4 – Processes for Investigation of Departures from Academic Integrity) envisions only two processes: "Investigation by an Instructor of Suspected Departures from Academic Integrity in a Class" (1.4.1) and "Investigation of Suspected Departures from Academic Integrity by the Associate Dean (Studies)" (Sec. 1.4.3). In both, the only "suspected departures" under consideration are departures by students.

In short, Queen's procedures documents concerning AI are asymmetrical and appear to belie in practice the statement of the Senate Policy that "Queen's students, faculty, administrators and staff [...] all have ethical responsibilities for supporting and upholding the fundamental values of academic integrity," as well as Queen's AI Advisor Jim Lee's affirmation that "the principles of academic integrity should apply to all members of the university community."

**Oral response provided by the Provost**

Queen's has an institutional statement that affirms the principles of academic integrity and expectations with respect to students, faculty and staff. It was approved by Senate in January, 2006 when it also endorsed the academic policy statement. Student procedures with regard to conduct



have been updated. Queen's also has an Integrity in Research Policy that is applicable to all members of the university community. The procedure, however, to initiate complaints against those who are not students is not clear. Although resources are available from the offices of Coordinator of Dispute Resolution Mechanisms, faculties and department heads, more clarity could be provided.

The Provost advised Senate that he has asked the Academic Integrity Advisor to the Provost, Jim Lee, to speak to the Chair of the Senate Committee on Academic Procedures about this matter. It was acknowledged that due attention must be paid to Queen's collective agreements in considering how to proceed.

**2. From Senator Jones on:**

**ii Strategic Mandate Agreements**

In early August, the MTCU sent the executive officers of Ontario colleges and universities a directive to file Strategic Mandate Agreements, or SMAs, with the Ministry by 30 September. As OCUFA's Mark Rosenfeld has explained, these will apparently be used for purposes including allocation of resources and "differentiation" of Ontario's institutions of post-secondary education. Would the Principal please explain to Senate how he views the agenda behind this directive, and how he intends to respond?

It was acknowledged that this question had been adequately addressed through the presentation on the Proposed Mandate Statement earlier in the meeting.

**iii Commercial advertisements on campus**

Floor-to-ceiling advertisements for corporations including Apple, Bell Canada, and Target have recently appeared in Mac-Corry, and perhaps in other campus buildings. This is an issue of interest to Senate since it is apt to compromise the academic credibility of the institution. I submit that it is wrong for an institution of public education to run commercial ads in its educational space or in connection with its academic mission because this confers the public institution's educational authority (purchased by decades of public funding and merited by principled academic conduct) on ads that bring monetary profit to private investors--and moreover because it does so at the cost of undermining that very authority in its more proper support of actual education. Hosting commercial ads implies, and indeed publicly proclaims, that the University is reliant upon private corporations for its support. It implies that the University's scholarly and pedagogical endorsement is open for purchase by those with the money to buy it. This is very apt to discredit the institution as a centre of disinterested academic teaching and learning, whatever its actual academic practice may be. Endorsement decisions by a publicly funded educational institution should therefore be based only on academic criteria, not on ability-to-buy. Would the Provost please respond to this position and explain to Senate the decision to use our University's public educational name and spaces to support corporate advertising and private profit?

**Oral response provided by the Provost**

Advertisements in the Mackintosh-Corry Student crossroads are in student activity space, which is under the jurisdiction of the Alma Mater Society, and not the University. The Provost asked Senator Johnson, President of the AMS, if he would like to comment. Senator Johnson advised Senate that the advertising is thoroughly reviewed against standards and is approved by the student government and generates needed revenue for the AMS to support it in its delivery of programming to students. The Provost noted that he and AMS President Johnson are working with the AMS to review the placement of posters. In answer to a further question, regarding advertising in the ARC, Senator Johnson clarified that AMS controls the space on the west side of the Queen's Centre up to the doors of the Athletics and Recreation Centre on the east side.

Senator Jones requested that the Provost review the use of corporate advertising in spaces not controlled by the AMS. The Provost agreed to provide a response at the October 30 Senate meeting.

**3. From Senator Morelli regarding the status of the Provost's investigations into allegations of research misconduct by HEQCO and Queen's.**

What is the state of the Provost's investigation into the allegations of research misconduct by HEQCO and Queen's? When can Senate expect to receive a preliminary report and/or a final report? And what are the terms of reference of the investigation (i.e. what specifically is the Provost looking into)? More specifically:

- a. Does the investigation seek to determine whether Queen's revisions to the Report subsequently published under the names of Massey and Field involved departures from academic integrity on the part of Queen's University Administration and/or Staff?
- b. Does the investigation examine Queen's practice in relation to research contracts that stipulate waivers of moral rights, both in general and in the specific case of Jennifer Massey and Sean Field? Were the researchers in this case adequately advised by Queen's ORS staff as to the possible consequences of signing a contract with a moral rights waiver included? Is it general practice for Queen's to advise researchers who are signing research contracts about the implications of moral rights waivers?
- c. Was it Queen's University or HEQCO that invoked the terms of the moral rights waiver to revise the original conclusions of Massey and Field? What options did the University explore to avoid this outcome? What actions did Queen's University take to protect its employees in this matter?

**Oral response provided by the Provost**

With respect to Question 3 a., the Provost earlier advised that the issue of whether Queen's revisions to the reports involved a departure from academic integrity was not part of his inquiries.

With respect to Question 3 b., the Provost stated that the individuals were adequately advised however, he could not speak for one of the three individuals involved because it was the lead investigator's responsibility to speak to that person.

The Provost confirmed that it is general practice for Queen's to advise researchers who are signing research contracts about the implications of moral rights waivers and that ORS goes to great lengths to draw this to people's attention.

Regarding Question 3 c., the Provost stated that HEQCO was responsible for insisting on the revisions; they had the right to do this because they had not accepted the submission as being final.

Senator Morelli requested that the Provost provide more information as he had not yet spoken to the options the University explored to avoid the outcome. He further raised concerns regarding the fact that the results of the study were modified by the University prior to final submission to HEQCO. He requested that the Provost identify who, on behalf of the University, had prepared the final submission, as an alteration to the report without the initial author's consent was concerning.

The Provost observed that the University can do little under the circumstances when moral rights are waived. The individual had signed a contract on behalf of the University; the University was contractually obliged to provide a deliverable, which was not provided to HEQCO's satisfaction. Modifications were made by University employees to ensure that Queen's satisfied the terms of the contract.

The Provost noted that the report was not research in a standard sense but a contract involving a deliverable and that there is a distinction between research supported by contract as opposed to

grants.

Senator Jones inquired as to what the University defined as a complaint, given that an OCUFA report on the matter described the situation as a serious breach of academic integrity. He observed that there appeared to be no clear venue for student instigation of complaints regarding concerns over academic integrity.

In response to a request from the Chair to articulate the complaint process, AVP (Research) S. Marlin advised Senate that allegations of academic integrity breaches in research must be submitted to the Principal, signed, dated and with evidence. To her understanding, nothing has been received with respect to this matter.

The Chair summarized that Senate had now received a clear, legal definition of what is a proper complaint regarding academic integrity in research and that the Senate has heard that the University has not received such a complaint.

In follow-up, Senator Morelli asked whether the Provost had contacted the lead individual in the HEQCO matter. He also requested that the Provost respond to his questions in writing at the next meeting for the purpose of the record.

The Provost repeated that the individual has never contacted him with a formal complaint.

Senator Woodhouse cautioned that the matter involved human resource issues and stated that it was not appropriate for discussion on the floor of the Senate. She noted that when one signs a contract for research, moral rights are often waived and that it is the responsibility of all researchers to read contracts carefully before signing.

### III REPORTS OF COMMITTEES

1. **Nominating** (Appendix F, page 31)
  - a) Election to Committees

**Moved by Senator Maclean, seconded by Senator Woodhouse, that those named in the report in Appendix F, page 31, be elected to the committees indicated, effective immediately.**

**Carried 12-50**

2. **Academic Development** (Appendix G, page 32)
  - a) 2011-2012 Omnibus Report
3. **Academic Procedures** (Appendix H, page 33)
  - a) Annual Report 2011-2012
  - b) Academic Integrity Cases Reviewed 2011-2012
4. **Creative Arts and Public Lectures** (Appendix I, page 35)
  - a) Annual Report Update
5. **Educational Equity** (Appendix J, page 36)
  - a) Annual Report 2011-2012

There were no questions about the reports listed in items 2 to 5.

### IV REPORTS OF FACULTIES

None Received

**V MOTIONS**  
None Received

**VI COMMUNICATIONS AND REPORTS SUBMITTED TO SENATE**

1. Biological Communication Centre - Change of Entity Status (Appendix K, page 39)
2. Conservation Genetics Group - Closure (Appendix L, page 40)
3. Research Report (Appendix M, page 41)
4. 2012 Exit Poll <http://www.queensu.ca/registrar/aboutus/reports/exitpoll.html>

There were no questions about the above communications and reports.

**VII MATTERS REFERRED TO STANDING COMMITTEES** (Appendix N, page 54)

1. Athletics and Recreation Non-Academic Discipline Annual Report 2011-2012 [Referred to the Senate Committee on Non Academic Discipline (SONAD)]
2. Postgraduate Medical Education Assessment, Promotion and Appeals Policy 2012 [Referred to the Senate Committee on Academic Procedures (SCAP)]
3. Non-Academic Discipline System [Referred to Senate Committee on Non-Academic Discipline (SONAD)]

**VIII OTHER BUSINESS**  
None Received

**IX CLOSED SESSION**

**Moved by Senator Saunders, seconded by Senator Harrison, that Senate move into Closed Session to receive the report of the Honorary Degrees Committee.**

**Carried 12-51**

1. **Report of the Honorary Degrees Committee** (Appendix O, page 68)

**Moved by Senator Brouwer, seconded by Senator De Souza, that Senate approve the nominations for Honorary Degrees at the 2013 Spring and Fall Convocations as listed in the report in Appendix O, page 68.**


**Carried 12-52**

On behalf of the Principal, Senator Oosthuizen announced that former U.S. President Jimmy Carter and his wife Rosalynn Carter have agreed to accept honorary LLD degrees from Queen's University. They would receive their degrees on November 21, 2012. Their identity has been kept confidential until this time for security reasons.

The public release of this news will occur in the near future. Senators were instructed to continue to keep the information confidential to respect the wishes of the recipients.


In response to a question about the delay of the awarding of the degrees, Senator Oosthuizen clarified that Senate approved the Carter nominations in September 2011 as part of the 2012 slate of honorary degree recipients.

There being no further business, the meeting adjourned at 5:29 p.m.



# Our Mandate

Senate  
September 25, 2012




## Context: Provincial Government Activity

- At the end of June, Glen Murray, the Ministry of Training, Colleges and Universities (MTCU)
  - ❖ Released a discussion paper on how innovation can strengthen the Ontario postsecondary education system
  - ❖ Initiated consultation sessions to discuss four elements of this paper
  - ❖ Announced that colleges and universities were to submit a proposed mandate statement by September 30


**Government Vision:**  
Ontario's colleges and universities will drive creativity, innovation, knowledge, and community engagement through teaching and research. They will put students first by providing the best possible learning experience for all qualified learners in an affordable and financially sustainable way, ensuring high quality, and globally, comparable outcomes for students and Ontario's creative economy.

<p><b>Principle 1: Putting Students First</b> Ontario's postsecondary education sector must be student-focused. Support for improvements to the quality and affordability of education, and the student learning experience, are key to expanding the choices students have in content, delivery and learning models.</p>	<p><b>Principle 2: Meeting the Needs of the Creative Economy</b> Transformation will require a redefinition of the role of higher education to serve the foundational needs of the new creative economy, and to ensure that Ontario's postsecondary education remains internationally competitive.</p>	<p><b>Principle 3: Focusing on Productivity, Innovation and Sustainability</b> Government policy will work better with institutional goals to further encourage the conditions under which universities and colleges can adopt a modern and relevant curriculum, use innovation to achieve higher levels of productivity, and are financially sustainable to reflect new economic realities.</p>
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## Discussion Paper

- Paper includes eight sets of questions, four of which were the topic of the consultation sessions
  - ❖ (High-)quality teaching and learning outcomes
  - ❖ Experiential learning
  - ❖ Technology-enabled learning
  - ❖ Expanded credential options and supplements




## Proposed Mandate Statement

- Mandate statement will include:
  - ❖ Brief mandate statement and three priority objectives
  - ❖ Our vision and its relationship to our mandate statement
  - ❖ Descriptions of the three priority objectives
- Submission is not to exceed eight pages and must have an overriding focus on innovation and productivity



## Priority Objectives

- Expanded undergraduate credentials
- Developing twenty-first century skills through experiential and entrepreneurial learning
- Expanded graduate credentials




## Queen's Initiative Campaign

An overview


Presentation to Senate by  
Tom Harris, Vice-Principal (Advancement)  
Professor of Chemical Engineering

September 25, 2012




### Today's presentation

- Campaign priorities
- Themes for the Campaign
- Timelines



### Campaign priorities

- Two-fold:
  - Raise at least \$500 million by 2016 for university priorities + \$100M in estate planning gifts
    - Donated to date: \$297 million – 59% of goal
    - Started counting May 1, 2006
    - 2016 coincides with Queen's 175<sup>th</sup> anniversary
  - Increase the profile and brand of Queen's
    - Brand idea "Spirit of Initiative"



### Campaign priorities

- The Campaign is being led by the Principal
- Themes & priorities anchored in our Academic Plan & Strategic Research Plan



### Thematic Areas

Our goal is to provide an environment where opportunity meets excellence. To do this, we will:


- Be the destination for exceptional people
- Enhance the students' learning experience
- Secure our global reputation in discovery and inquiry
- Nurture a supportive community



### Four Campaign fundraising themes

**Queen's will be the destination for exceptional people**

- Increase dramatically our merit- and need-based student assistance for students from all programs and years
- Create exceptional graduate support and financial assistance packages
- Fund new and existing chairs, professorships and fellows to support the learning environment and engage in discovery

**Four Campaign fundraising themes** 

**Enhance Queen's student-learning experience**

- Provide opportunities to learn in different ways
- Redefine our space and use technology to enhance learning
- Secure funds to increase the number of teaching assistants
- Increase funding for field programs, student exchanges

**Four Campaign fundraising themes** 

**Queen's will secure our global reputation in discovery and inquiry**

- Strategically support areas of scholarship
- Create or reinforce institutes, centres & schools in established & emerging areas of strength
- Ensure our research environment is commensurate with our exceptional people


**Four Campaign fundraising themes** 

**Nurture a supportive community environment**

- Increase leadership opportunities for students
- Create a **Wellness Centre** as a hub for health and counselling services
- Establish a **Scholar's Academy** to facilitate our high-achieving students' transition into graduate programs, professional schools and prestigious fellowships and scholarships

**Campaign launch in September - Timelines** 

- September 27 Campus Community Launch at Grant Hall
- September 29 at Grant Hall and Ban Righ
- Three regional launches – Toronto (October 10), Ottawa (October 18), Calgary (October 3)



Questions?

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